

# Interpreting Heegaard Floer homology

Sarah Rasmussen

University of Cambridge

6 September 2017

## Geometry & Topology:

- (1) Construct spaces.
- (2) Study structures on those spaces.

# Invariants?

## Geometry & Topology:

(1) Construct spaces.

(2 -  $\varepsilon$ ) Compute invariants for those spaces.

(2) Study structures on those spaces.

# Invariants

Eg: **Cell Complexes & Euler Characteristic ( $\chi$ )**

(1) Construct spaces.  $M \in \{ \cdot, \text{triangle with arrows}, \text{circle}, \text{torus}, \text{tetrahedron}, \dots \}$

(2 -  $\varepsilon$ ) Compute invariants.  $\chi(M) := \sum_i (-1)^i c_i(M)$ .

(2) Study structures:  
 $\chi(M)$  counts **holes** in  $M$ .

**Invariant:** Choose extra data, ask a question, quotient.

**Finite Question** (e.g. Cell decomposition, Morse singularities)

↪ **Integer Answer** ( $\chi(M)$ )

↪ **Vector Space Answer** ( $H_*(M)$ ).

**Linear Question** (e.g. Hodge theory)

↪ **Vector Space Answer** ( $H^*(M)$ ).

**Nonlinear Question** (e.g. Complex structures)

↪ **Moduli space Answer**  $\mathcal{M}$  (Extract invariants from  $\mathcal{M}$ ).

# Moduli Spaces from Physics

Physics (Mechanics):

1. Hamiltonian mechanics on a spacetime  $W$   
     $\rightsquigarrow$  **symplectic** configuration space  $\mathcal{C}$ .
2. Localizing to critical points in  $\mathcal{C}$  of action functional  
     $\rightsquigarrow$  “equations of motion.”
3. {Critical points} corrected by **flows** between critical points  
     $\rightsquigarrow$  moduli space  $\mathcal{M}$  of solutions.

## Co-opting moduli spaces from physics.

(Anti-self-dual connections on a principal  $SU(2)$ -bundle over  $W$ ?)

↪ Donaldson Theory

↪ Instanton Floer Theory

↪ Seiberg-Witten Theory

↪ Monopole Floer Theory

↪ **Heegaard Floer Theory (HF).**

# Gauge Theory $\rightsquigarrow$ Floer theory?

1. Hamiltonian mechanics on a spacetime  $W$   
 $\rightsquigarrow$  **symplectic** configuration space  $\mathcal{C}$ .
2. Localizing to critical points in  $\mathcal{C}$  of action functional  
 $\rightsquigarrow$  “equations of motion.”
3. {Critical points} corrected by **flows** between critical points  
 $\rightsquigarrow$  moduli space  $\mathcal{M}$  of solutions.

But often, physics uses a spacetime  $W$  with **boundary**  $\partial W \neq \emptyset$ .  
 $\rightsquigarrow$  Dimensional reduction.



# Gauge Theory $\rightsquigarrow$ Floer theory?

Dimensional Reduction / TQFT (Atiyah, Floer, Gromov, Witten):  
Spacetime Cobordism  $W : M_1 \rightarrow M_2$ ,  $\partial W = M_1 \amalg -M_2$ .

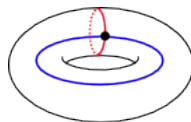
- Imposing **Dirichlet** boundary conditions on configurations  
 $\rightsquigarrow$  **Lagrangian** submanifolds  $L_i \subset \mathcal{C}$  of configuration space:  
 $\omega|_{L_i} = 0$ ,  $\dim L_i = \frac{1}{2} \dim \mathcal{C}$ .
- Localizing to critical points of the functional  
 $\rightsquigarrow$  **Intersections of Lagrangians**  $L_1 \cap L_2$ .
- **Flows** between critical points are given by  
“**Whitney discs**” — psuedo-holo discs bounded by Lags.

# Heegaard Floer homology: $HF(M)$

Peter Ozsváth, Zoltan Szabó (2000).  $M$  closed oriented 3-mfd.

$$M^3 = U_\alpha \cup_\Sigma U_\beta.$$

Heegaard diag.  $(\Sigma, \alpha, \beta, z)$ .



$$HF(M) = HF_{\text{Lag}}(\mathbb{T}_\alpha, \mathbb{T}_\beta), \quad \mathbb{T}_\alpha, \mathbb{T}_\beta \subset \text{Sym}^{g(\Sigma)}(\Sigma).$$

—  $CF(M)$  generated by points  $\mathbb{T}_\alpha \cap \mathbb{T}_\beta \subset \text{Sym}^{g(\Sigma)}(\Sigma)$ .

— Differentials: pseudoholomorphic Whitney disks.

$HF(M)$  is mysterious

when  $M$  is a *rational homology sphere*:  $H^1(M) = 0$ .

## Definition

An *L-space* is a 3-manifold  $M$  with  $HF_{\text{red}}(M) = 0$ .

Basic properties of L-spaces:

- $M$  closed, oriented,  $b_1(M) = 0$ . ( $M$  QHS.)
- $\widehat{HF}(M) = \bigoplus_{\mathfrak{s} \in \text{Spin}^c(M)} \widehat{HF}(M, \mathfrak{s}) = \bigoplus_{h \in H_1(M)} \mathbb{Z} = \mathbb{Z}^{|H_1(M)|}$ .

Example L-spaces:

- **Lens spaces.**
- Branched double covers of alternating knots.

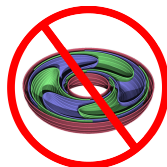
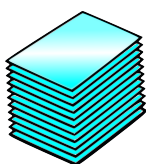
Conjecture:  $M$  is **not** an L-space  $\iff$  ...

$\pi_1(M)$  has a left order (LO).

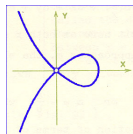
$$g_1 > g_2 \iff hg_1 > hg_2$$



$M$  admits a co-oriented taut foliation (CTF).



$M$  links a nonrational singularity.



# The conjecture also demands $M$ irreducible, because....

Suppose  $M = M_1 \# M_2$ .

- $\widehat{HF}(M) = \widehat{HF}(M_1) \otimes \widehat{HF}(M_2)$ .
- $\pi_1(M) = \pi_1(M_1) * \pi_1(M_2)$ .
- No taut foliations on  $M_1 \# M_2$ .

# The conjecture also demands $M$ irreducible, because...

Suppose  $M = M_1 \# M_2$ .

- $\widehat{HF}(M) = \widehat{HF}(M_1) \otimes \widehat{HF}(M_2).$   
 $\quad \quad \quad \text{L} \iff \text{L} \quad \quad \quad \text{L}$

- $\pi_1(M) = \pi_1(M_1) * \pi_1(M_2).$   
 $\quad \quad \quad \text{LO} \iff \text{LO} \quad \quad \quad \text{LO}$

- No taut foliations on  $M_1 \# M_2$ .

- $\xi = \xi_1 \# \xi_2.$   
 $\text{tight} \iff \text{tight} \quad \quad \quad \text{tight}$

$HF$  well behaved under cobordism.

$HF$  well behaved under **elementary** cobordism.

$\rightsquigarrow$  Dehn Surgery!



# L-space intervals (j/w J. Rasmussen)

## Definition

If  $Y$  is a compact oriented three-manifold with torus boundary, then the *L-space interval* of  $Y$  is the space  $\mathcal{L}(Y) \subset \mathbb{P}(H_1(\partial Y))$  of L-space Dehn filling slopes of  $Y$ .

## Definition

$\mathcal{NL}(Y) := \mathbb{P}(H_1(\partial Y)) \setminus \mathcal{L}(Y)$  is the set of non-L-space Dehn filling slopes of  $Y$ , i.e., slopes for Dehn fillings with nontrivial  $HF$ .

## Theorem (J. Rasmussen, -R)

If  $\mathcal{L}(Y)$  contains more than one element, then  $\mathcal{L}(Y)$  is an interval, and its endpoints can be computed from the Turaev torsion of  $Y$ .

# L-space Classification of Seifert Fibered Spaces

## History:

Eisenbud-Hirsch-Neumann, Jankins-Neumann,  
Naimi, Calegari-Walker, Eliashberg-Thurston, Ozsváth-Szabó,  
Lisca-Matić, Lisca-Stipcisz.

## Theorem (J. Rasmussen, -R)

$M = M\left(\frac{\beta_0}{\alpha_0}; \frac{\beta_1}{\alpha_1}, \dots, \frac{\beta_n}{\alpha_n}\right)$  Seifert fibered over  $S^2$ . Write  $y_i := \frac{\beta_i}{\alpha_i}$ .  
Then  $M$  is not an L-space  $\iff 0 \in (y_-, y_+) \subset \mathbb{Q} \cup \{\infty\}$ , where

$$y_- := \max_{k>0} -\frac{1}{k} \left( 1 + \sum \left\lfloor \frac{\beta_i}{\alpha_i} k \right\rfloor \right),$$

$$y_+ := \min_{k>0} -\frac{1}{k} \left( -1 + \sum \left\lceil \frac{\beta_i}{\alpha_i} k \right\rceil \right).$$

Matches (complement of) **Jankins-Neumann-Naimi** classification  
of Seifert fibered spaces admitting **transverse foliations**.

## Theorem (J. Rasmussen, -R; Hanselman, Watson)

Suppose  $\varphi : \partial Y_1 \rightarrow -\partial Y_2$  is a gluing map for  $Y_i$  boundary incompressible and  $\mathcal{L}^\circ(Y_i) \neq \emptyset$ . Then

$Y_1 \cup_\varphi Y_2$  not L-space  $\iff \varphi_*^{\mathbb{P}}(\overline{\mathcal{NL}}(Y_1)) \cap \overline{\mathcal{NL}}(Y_2) \neq \emptyset$ .

Proof:

- Use mapping cone for zero surgery to obtain formula computing  $\mathcal{L}(Y)$  from Turaev torsion  $\tau(Y)$ .
- Express  $Y_1 \cup Y_2$  as Dehn filling of a Floer simple manifold.
- Compare L-space interval boundaries (Euc. alg. argument).

# L-space Classification of Graph manifolds

## Theorem (-R)

*A graph manifold is not an L-space (or equivalently, admits a CTF or an LO fundamental group) if and only if either its L-space surgery interval is empty or 0 is contained in the appropriate interval with endpoints  $y_+, y_-$ , where*

$$y_- := \max_{k>0} -\frac{1}{k} \left( 1 + \sum_{i=0}^n \lfloor y_i k \rfloor + \sum_{i=0}^{n_G} (\lceil y_{i+}^G k \rceil - 1) \right)$$

$$y_+ := \min_{k>0} -\frac{1}{k} \left( -1 + \sum_{i=0}^n \lceil y_i k \rceil + \sum_{i=0}^{n_G} (\lfloor y_{i-}^G k \rfloor + 1) \right).$$

## Theorem (Boyer-Clay)

*A graph manifold  $M$  admits CTFs  $\iff \pi_1(M)$  admits LOs.*

## Theorem (Hanselman, J. Rasmussen, -R, Watson)

*A graph manifold  $M$  is **not** an L-space  $\iff M$  admits CTFs.  
 $\rightsquigarrow$  The BGW+J conjecture holds for all graph manifolds.*

## Theorem (Némethi)

*If  $(X, \circ)$  is the germ of a normal complex surface singularity, then  $(X, \circ)$  is rational  $\iff M := \text{Link}(X, \circ)$  is an L-space.*

# The L-space Conjecture

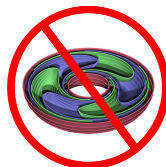
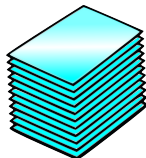
$M$  irreducible is **not** an L-space  $\iff$  ...

$\pi_1(M)$  has a left order (LO).

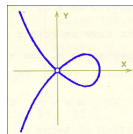
$$g_1 > g_2 \iff hg_1 > hg_2$$



$M$  admits a co-oriented taut foliation (CTF).



$M$  links a nonrational singularity.



Thanks!